Docket No: 743421-84

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e.

In re New Patent Application of)
Fumiaki KIKUI, et al.)
International Application No. PCT/JP2004/015108) Attn: Designated/Elected
International Filing Date: October 6, 2004) Office (DO/EO/US)
For: SUBSTRATE AND METHOD FOR)
PRODUCING SAME) Date: April 5, 2006

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office Customer Window, Randolph Building 401 Dulany Street Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. In accordance with the PTO notice dated July 11, 2003, waiving the requirement under 37 CFR 1.98 (a)(2)(i) for submitting copies of each cited U.S. Patent, for all U.S. national patent applications filed after June 30, 2003, no copies of U.S. Patents are enclosed. However, copies of foreign patents and non-patent literature are submitted under 37 CFR 1.98(a)(2).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

U.S. 5,567,917; JP 08-204301; JP 08-083963; and JP 10-167822 were cited in the International Search Report. U.S. 5,567,917 is the U.S. cited equivalent of JP 07-050460A cited in the International Search Report. JP 06-104542 is cited on page 2, line 7 of the specification.

10/574603 IAP9 Rec'dPCT/PTO 05 APR 2006

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Respectfully submitted,

By:

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Substitute for form 1449A/PTO		Complete if Known		
INFORMATION DISCLOSURE			Application Number	Not Yet Assigned
STATEMENT BY APPLICANT		Filing Date	April 5, 2006	
		First Named Inventor	Fumiaki KIKUI, et al.	
(use as many sheets as necessary)	Art Unit	Not Yet Assigned		
	Examiner Name	Not Yet Assigned		
Sheet	of		Attorney Docket Number	743421-84
			U.S. PATENT DOCUMENTS	

	U.S. PATENT DOCUMENTS				
Examiner Initials	Cite No.	U.S. Patent Document Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,567,917	10/22/1996	Hayashi	
		US-			_

		FC	DREIGN PATENT D	OCUMENTS	· · · · · · · · · · · · · · · · · · ·	
Examiner Initials*	Cite No. ¹	Foreign Patent Document Kind Code ³ Country Code ³ Number ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	-	JP 08-204301	08/09/1996	Fukuda et al.		Abstract
		JP 08-083963	03/26/1996	Shimada et al.		Abstract
		JP 10-167822	06/23/1998	Hamada et al.		Abstract
		JP 06-104542	04/15/1994	Tomaru et al.		Abstract
		OTHER PRIOR A	ART – NON PATENT I	LITERATURE DOCUMENTS		
Examiner Initials	Cite No.1		urnal, serial, symposium,	RS), title of the article (when appropriatalog, etc.)., date, page(s), volume country where published.		T ²
		International Search Repor	t for PCT/JP2004	1/015108 dated January 2	5, 2005.	
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Examiner	Date	
Signature	 Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.